

Modelli integrativi preclinici in psicobiologia: impulsività e propensione all'azzardo.



Dr. Walter Adriani

Section of Behavioural Neuroscience Department of Cell Biology and Neurosciences Istituto Superiore di Sanità, Rome

<u>Impulsivity</u>

A multifaceted concept defined in several ways:

- inability to wait or to inhibit inappropriate behaviour
- a tendency to act without forethought about possible outcomes
- an insensitivity to the adverse consequences of one's own actions

Two main categories of impulsivity are:

- motor impulsivity: failure to resist a strong impulse, drive, or temptation
- cognitive impulsivity: choice without consideration of alternatives and/or consequences

High level of impulsive behaviour is a clinically significant symptom in a range of psychiatric disorders, characterized by inappropriate inhibitory control:

attention-deficit/hyperactivity disorder (ADHD)

- obsessive-compulsive disorders
- substance abuse
- pathological gambling

Brief overview of the pathology

Attention-deficit/hyperactivity disorder (ADHD)

- the most common neurobehavioural disorder in children and adolescents
- estimated prevalence is 4-7% in the USA and 1-3% in Europe
- up to 4 times more frequent in males than in females
- highly comorbid with a range of other neuropsychiatric disorders



 in approximately 80% of children with ADHD, symptoms persist into adolescence; in about 30-50% of these cases, symptoms may even persist into adulthood

ADHD children

impaired attention

impulsivity

- excessive motor activity
- poor school performance
- difficulties with peers
- low self-esteem due to repeated failures

ADHD adolescents and adults

impaired attention

impulsivity

risk proneness

pathological gambling

- abuse of alcohol and drugs
- antisocial personality

Brief overview of the pathology

Pathological gambling (PG)

- PG affects 0.2-5.3% of adults worldwide
- gambling is becoming a problem also among adolescents
- 3.5-8.0% of adolescents meet indeed the criteria for PG
- highly comorbid with a range of other disorders and substance abuse
- PG in DSM-III, DSM-IV and DSM-V: from *"Impulse-Control Disorders Not Elsewhere Classified"* to *"Substance-Related Disorders"*
- PG as a "no substance addiction": tolerance, withdrawal, loss of control



Psychological symptoms

- mood alterations
- self-esteem alterations

increased impulsivity

superstitious behaviours

Physiological symptoms

- anxiety related physical symptoms
- insomnia
- headache
- heart attacks (due to the stress and overexcitement of gambling)

Impact on society

 financial, social, and legal problems (including bankruptcy, divorce, job loss, imprisonment)

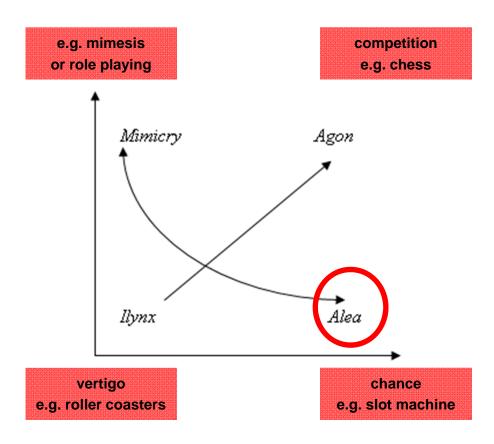
suicide attempts

substance abuse

Gambling proneness

* A definition of gambling

• Betting money or other equivalent goods upon the future outcome of an event which presents a degree of uncertainty, with a view to winning a prize; winning is mainly (or exclusively) due to chance and not much (or not at all) to individual abilities.





Games combine these elements in various ways:

- Poker = Alea + Agon
- Russian roulette = Alea + Ilynx

Assessment of impulsivity

Personality tests and reports:

- Barratt Impulsiveness Scale (BIS)
- Eysenck Impulsiveness Scale (EIS)
- UPPS Impulsive Behaviour Scale
- ***** Behavioural paradigms (in both clinical and experimental settings, including animal models):
 - Marshmallow Test (only humans)
 - Delay Discounting

≻ delay of gratification

- Go/No-Go Task
- Stop-Signal Reaction Time (SSRT) Task
- Differential Reinforcement of Low Response Rate Task (DRL)

response inhibition



Assessment of gambling proneness

Personality tests and reports:

- South Oaks Gambling Screen (SOGS)
- South Oaks Gambling Screen Revised for Adolescents (SOGSRA)
- Gambling Attitudes and Beliefs Survey (GABS)
- Canadian Problem Gambling Index (CPGI)
- Behavioural paradigms (in both clinical and experimental settings, including animal models):
 - Iowa Gambling Task (IGT)
 - Balloon Analogue Risk Task (BART) (only humans)

Probability Discounting Task (PDT)



Cerebral areas involved in impulsivity and gambling proneness

Prefrontal cortex

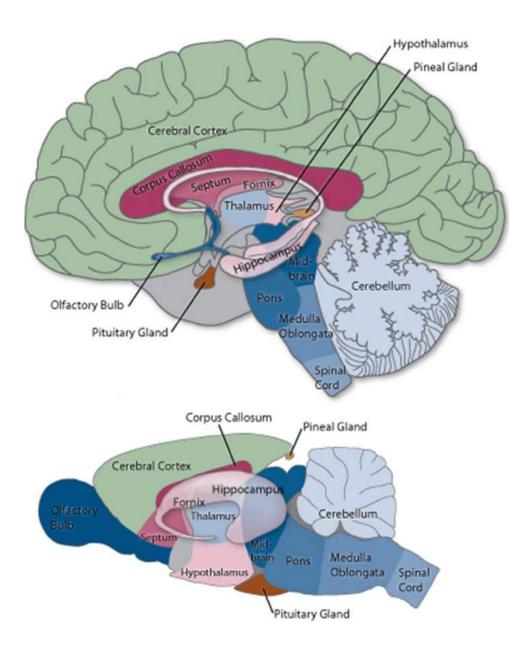
- elaboration and actuation of more evolved behavioural responses
- active inhibition of subcortical responses

Dorsal striatum

- learning of novel procedures and strategies
- formation and establishment of new habits
- innate/acquired automatic behaviours

Ventral striatum (nucleus accumbens)

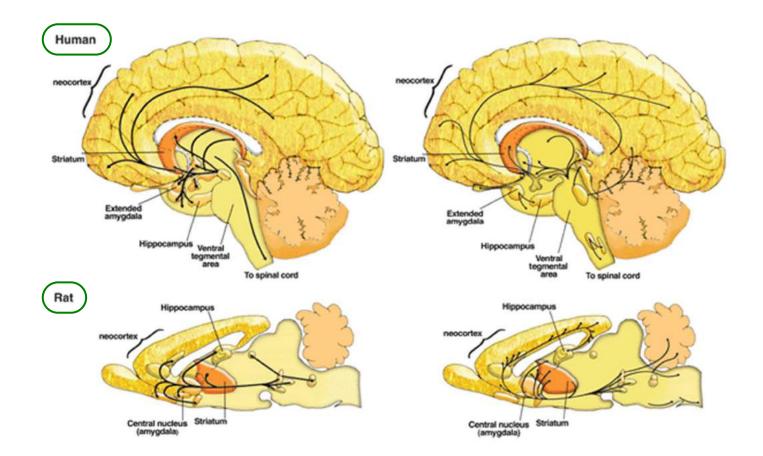
- reaction (attraction/avoidance) to rewardrelated, salient cues
- subcortical evaluation of reward value
- sustaining the efforts towards reward in goal-directed behaviour



Neurochemical systems

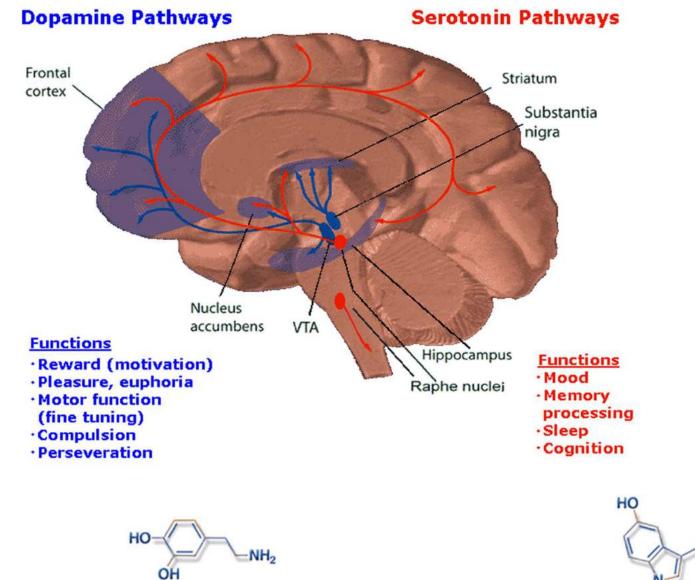
Dopaminergic system

Serotonergic system



Neurotransmitter: Dopamine Origin: Ventral tegmentum; Substantia nigra Target: Nucleus accumbens; Dorsal striatum Neurotransmitter: Serotonin Origin: Raphe nuclei Target: Prefrontal cortex

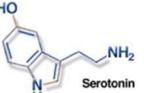
Neurochemical systems



Neurotransmitter: Dopamine

Dopamine

Neurotransmitter: Serotonin



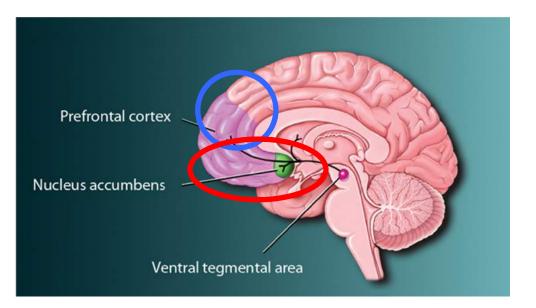
The reward system in impulse control disorders

Reward and reinforcement systems

 the circuit includes the dopamine-containing neurons of the ventral tegmental area (VTA), which projects to the nucleus accumbens and to part of the prefrontal cortex

• this major neurochemical pathway is termed mesolimbic system, paralleled by the meso-cortical branch - i.e., meso-cortico-limbic!

• another system, which contributes to the reinforcement, is the nigro-striatal pathway



Altered reward perception vs impaired reinforcement sensitivity

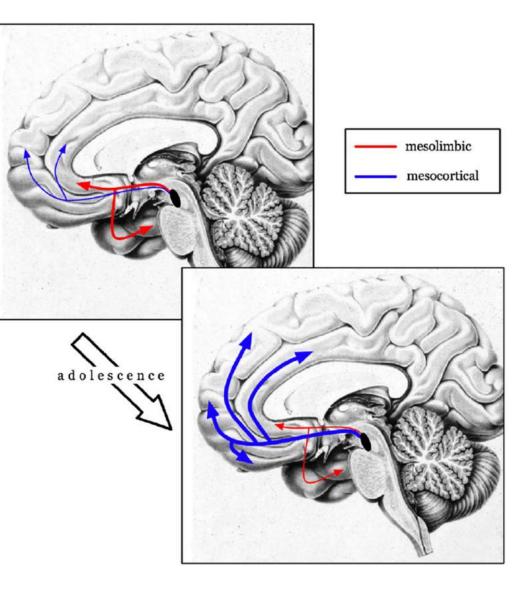
- limbic cortex (medial-prefrontal and orbitofrontal) and ventral-striatal circuitry (nucleus accumbens) subserve motivational processes (e.g. goal-directed behaviour, "appetitive" drives)
- dorsomedial and dorsolateral cortico-striatal circuitry subserve semi-automatic executive processes
- both pathways are driven by dopamine activity

The reward system during adolescence

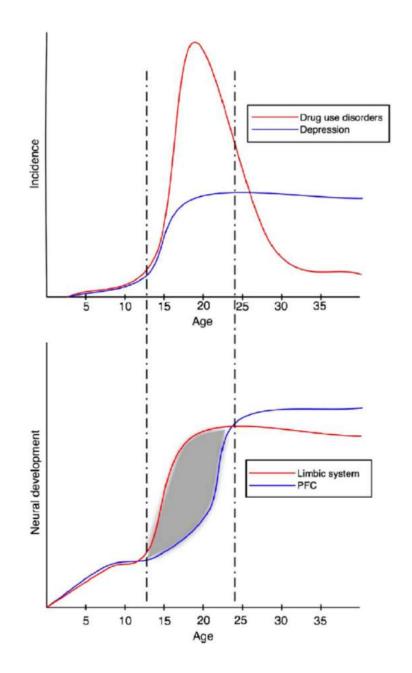
The mesolimbic and mesocortical dopaminergic projections from VTA

- increase in the number of dopamine fibers projecting to the prefrontal cortex and overproduction of dopamine receptors followed by pruning
- receptor pruning more pronounced in limbic (subcortical) than in cortical regions
- shift in the relative balance between subcortical and cortical dopaminergic systems; dominance, following adolescence, of the mesocortical dopaminergic system (Davey et al., 2008)





Explanations for vulnerability to mental disorder in adolescence



• The top graph describes the ages of onset for depression and drug use disorders across the first four decades of life, and the bottom is a representation of the development of the limbic system and prefrontal cortex (PFC) over the same time span.

• Recent theories have implicated a mismatch between development of the limbic system and PFC as being responsible for a heightened vulnerability to mental disorder in adolescence (*Davey et al., 2008*).



INSIDE THE ADOLESCENT BRAIN

The brain undergoes two major developmental spurts, one in the womb and the second from childhood through the teen years, when the organ matures by fits and starts in a sequence that moves from the back of the brain to the front

Prefrontal

ortex

Corpus Callosum

Thought to be involved in problem solving and creativity, this **bundle of nerve fibers** connects the left and right hemispheres of the brain. During adolescence, the nerve fibers thicken and process information more and more efficiently

Prefrontal Cortex

The CEO of the brain, also called the area of sober second thought, is the last part of the brain to mature-which may be why teens get into so much trouble. Located just behind the forehead, the prefrontal cortex grows during the preteen years and then shrinks as during adolescence

Basal Ganglia

Larger in females than in males, this part of the brain acts like a secretary to the prefrontal cortex by helping it prioritize information. The basal ganglia and prefrontal cortex are tightly connected: at nearly the same time, they grow neuron connections and then prune them. This area of the brain is also active in small and large motor movements, so it may be important to

Amygdala

This is the emotional center of the brain, home to such primal feelings as fear and rage. In processing emotional information, teens tend to rely more heavily on the amygdala. Adults depend more on the rational prefrontal cortex, a part of the brain that is underdeveloped in teens. That may explain why adolescents often react more impulsively than adults

Nerve Proliferation ...



By age 11 for girls and 121/2 for boys, the neurons in the front of the brain have formed thousands of new connections. Over the next few years, most of these links will be pruned

Parietal

Corpus callosum

Temporal lobe

Basal

ganglia

Amygdala

lobe

-Hippocampu

lobe

Cerebellum

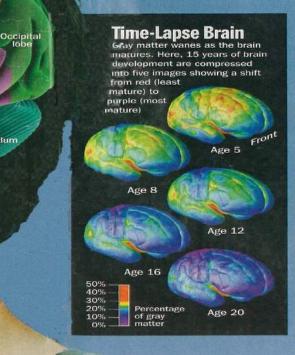
... and Pruning



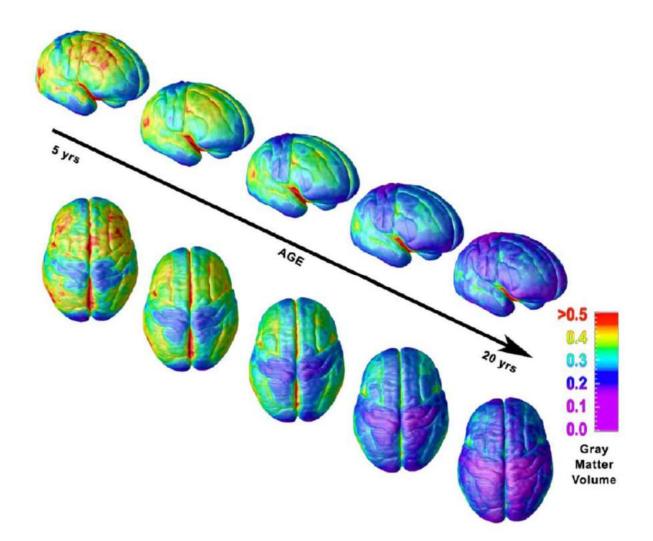
example-will be strengthened, while the ones that aren't used will die out

Cerebellum

Long thought to play a role in physical coordination, this area may also regulate certain thought processes. More sensitive cerebellum supports activities of higher learning like mathematics, music and advanced social skills. New research shows that it changes dramatically during adolescence, increasing the number of neurons and the complexity of their connections. The cerebellum is the only growing well into the early 20s



Age differences in humans: anatomical MRI



The developmental trajectory of cortical gray matter followed a regionally specific pattern. Gray matters wanes as the brain matures. Here, 15 years of brain development are compressed into five images showing a shift from red = least mature to purple = most mature *(Lenroot & Giedd, 2006)*.

Animal models in behavioural neuroscience

Animal models

• enable the investigation of brain-behaviour relations, with the aim of gaining insight into normal and abnormal human behaviour and its underlying neuronal and neuroendocrinological processes (van der Staay, 2006)

• allow to analyse these relations under controlled conditions (e.g. standardized housing and testing)

Why are animal models necessary?

- obtaining information that cannot be gained in other ways (genetic and environmental manipulations, pharmacological treatments, psychoactive agents, etc.)
- in-vitro approaches cannot model the interactions in complex systems, such as the brain-behaviour relations
- the only possibility to model behavioural disorders is to study the animal that behaves



Validity of animal models

The distinction between different forms of validity is useful to identify the weaknesses and limitations of a model: poor validity determines an increase in the number of false positives and false negatives in basic research, thereby limiting the possibility of developing new therapeutic strategies *(Willner 1984, 1995, 2002; van der Staay 2006, 2009)*.

Categories of validity

• Internal validity (reliability and replicability) refers to the technical and methodological quality of the experimental evaluation of the animal model.

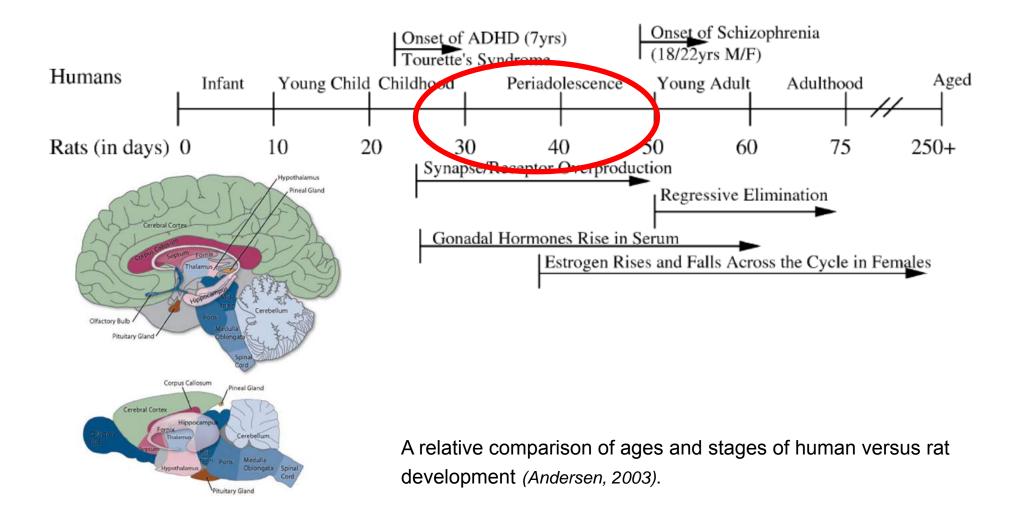
• **Face validity** is the degree of descriptive similarity between the behavioral dysfunction seen in an animal model and in humans affected by a given neurobehavioral disorder (similarity of symptoms).

• An animal model with high **predictive validity** allows extrapolation of the effect exerted by a particular experimental manipulation (e.g. therapeutic compounds) from one species to other species.

• **Construct validity** points to the degree of similarity between the mechanisms underlying behaviour in the model and those underlying behavior in the pathological condition which is being modeled.

• External validity (generalizability) is the extent to which the results obtained using a particular animal model can be applied to and across populations (strains, species, etc.) and lab environments.

The human versus rat development



The study of impulsivity in animal models

• "Motor impulsivity" (impulsive action) can be evaluated with non-choice-based paradigms, which resemble the classical "Go/No-Go Task" tasks in humans.

• Assessment of "cognitive impulsivity" (impulsive choice) requires by definition a two-choice paradigm; one of the most successfully utilised is the "delay-discounting paradigm".

→ impulsive subjects are highly intolerant to situations where reward is delayed: smaller but immediate reinforcers are preferred to larger ones, which come only after a delay (*Thiebot et al., 1985; Logue, 1988; Evenden & Ryan, 1996; 1999; Bizot et al., 1999*)

• The "five-choice serial reaction time task (5CSRTT)", initially developed to study attention, also implies aspects of behavioural inhibition such as premature/perseverative responding *(Carli et al., 1983)*.

• The "unpredictable operant conditioning schedule (variable interval-15, VI-15)" for food reinforcement, allowing to measure "free" responses emitted during a variable refractory period (*Coppens et al., 2012*).

• The "conditioned locomotor activity to food", a non-operant paradigm allowing to measure behavioural disinhibition/expectation (*Matthews et al., 1996; Winstanley et al., 2004*).

The study of gambling proneness in animal models

• Many operant paradigms have been developed to study (in)tolerance to uncertainty and/or risk proneness (Mobini et al., 2000; Cardinal & Howes, 2005; Adriani et al., 2006; Wilhelm & Mitchell, 2008).

• "Probabilistic Delivery Task (PDT)": choice between either a certain, small amount of food or larger amounts of food delivered (or not) depending on a given (and progressively decreasing) probability (*Adriani & Laviola, 2006; Adriani et al., 2006*).

• "Iowa Gambling Task (IGT)": choice between a low probability of a large reward or a high probability of a small reward (van den Bos et al., 2006).

• "Risky Decision-Making Task (RDT)": choice between a small, "safe" food reward or a larger food reward associated with the risk of punishment (e.g. footshock) (*Simon et al., 2009*).



The study of impulsivity and gambling proneness in our lab

impulsivity: Intolerance to Delay (ID) task

• operant paradigms for the study of

gambling proneness: Probabilistic Delivery (PD) task

• classical operant cages (Skinner-box) or operant panels inside standard home-cages





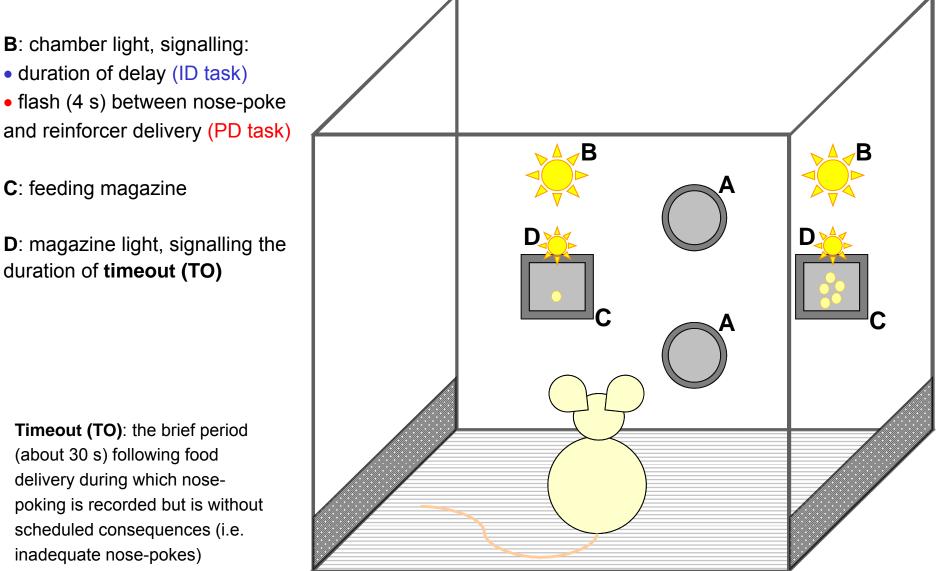
Classical operant cages (Skinner-box)



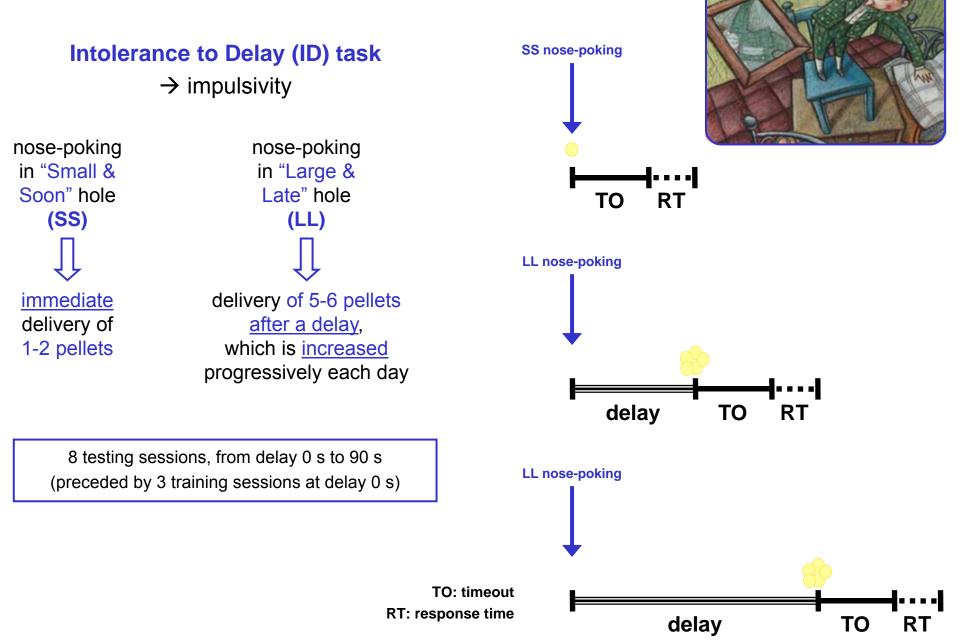


Schematic representation of an apparatus

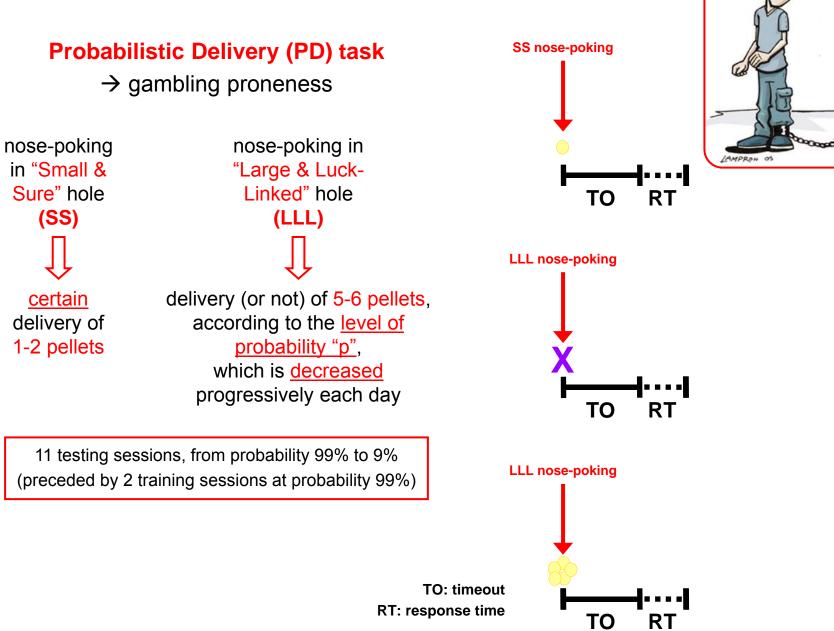
A: nose-poking hole



Paradigm for the measure of impulsivity



Paradigm for the measure of gambling proneness



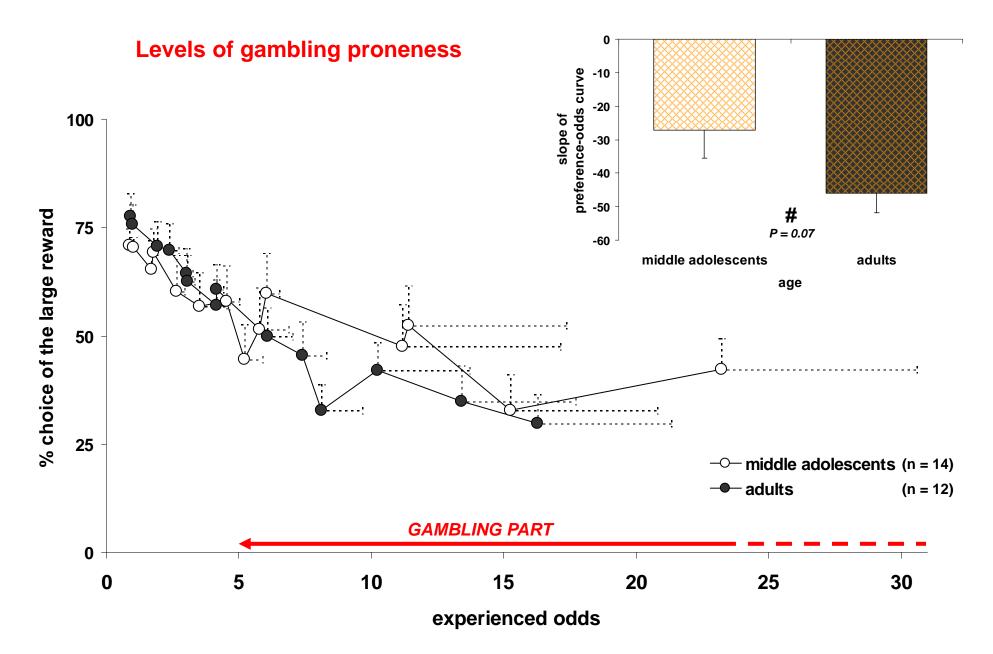
Age differences in gambling proneness

Neuropharmacology 67 (2013) 444-454



Gambling proneness in rats during the transition from adolescence to young adulthood: A home-cage method

Francesca Zoratto, Giovanni Laviola, Walter Adriani*



Slightly enhanced gambling behaviour in adolescent (pnd 36-49) than adult (pnd 67-80) rats.

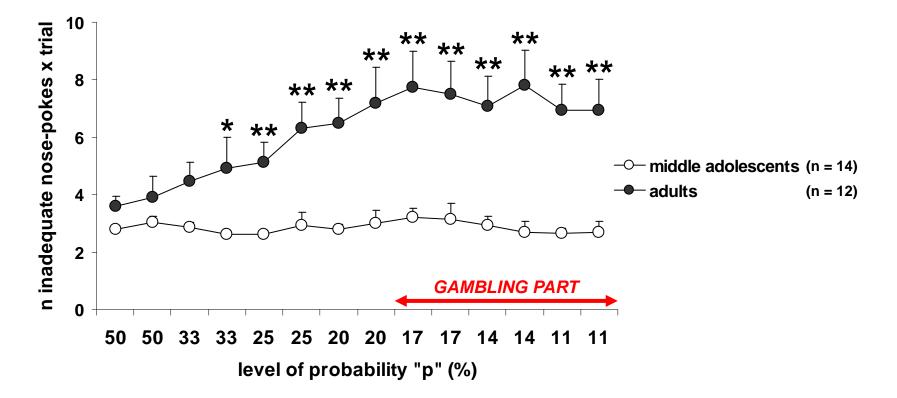
Neuropharmacology 67 (2013) 444-454



Frequency of inadequate responding, an index of "frustration"

Gambling proneness in rats during the transition from adolescence to young adulthood: A home-cage method

Francesca Zoratto, Giovanni Laviola, Walter Adriani*

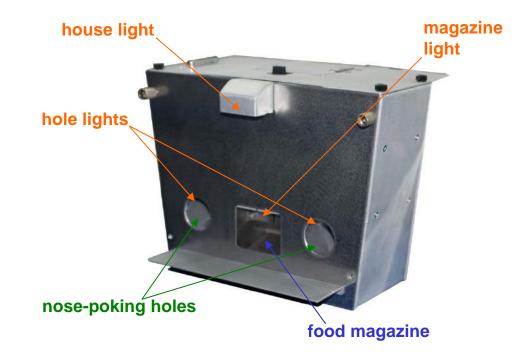


Frequency in adults comparable to what found previously in adult rats tested individually *(Zoratto et al., 2012)*; frequency in adolescents surprisingly low.

• Considering behavioural features of adolescence, we expected adolescent rats to maintain an even stronger attraction for highly uncertain reward in the gambling part of the task

• Inadequate nose-pokes in reaction to reward omission are an index of frustration; adolescents could be insensitive to reward loss

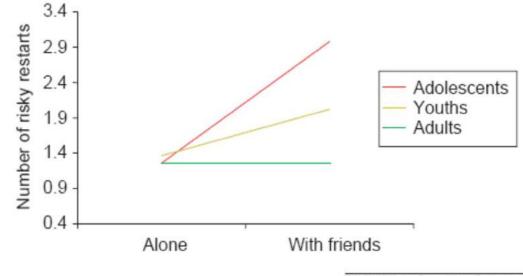
- → decreased behavioural and brain responsiveness to punishment? unlikely!
- → increased subjective value of the secondary reinforcer? a role for hole/magazine lights ...



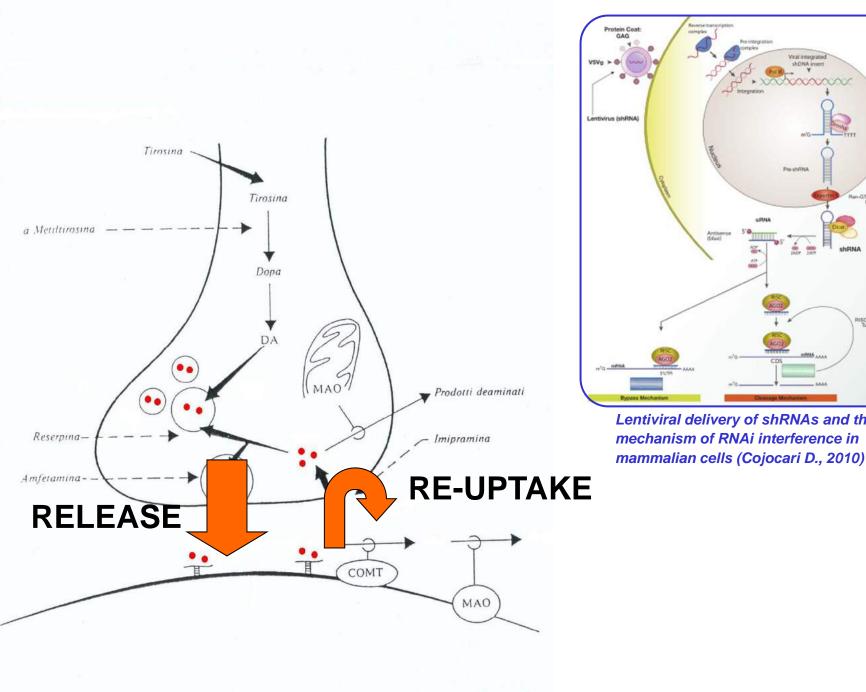
Slightly enhanced gambling behaviour in adolescents

• existing evidences in clinical experimental research are contrasting and do not support very clear age differences (Scheres et al., 2006; Crone & van der Molen, 2004)

• the presence of peers may actually render potentially risky activities far more rewarding (*Steinberg, 2005; Chein et al., 2011*)



TRENDS in Cognitive Sciences



Lentiviral delivery of shRNAs and the mechanism of RNAi interference in

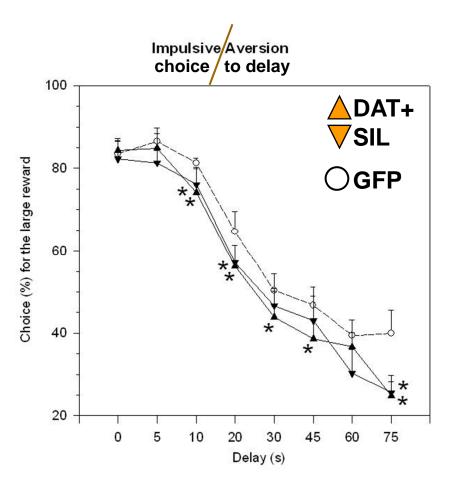
an-GTP Depend Export

ISC-complex Turnover

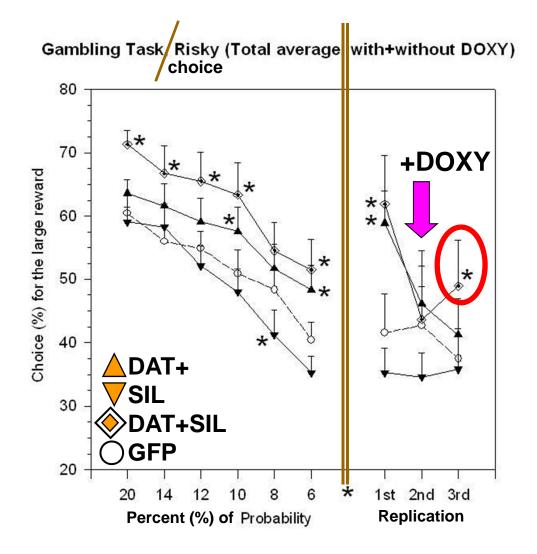
MODEL of IMPULSIVITY / GAMBLING

Levels of cognitive impulsivity

- **Delay-intolerance task.** Rats have free choice between:
- one food pellet delivered immediately, or
- **five** food pellets delivered with a given **delay** that increases progressively.



MODEL of IMPULSIVITY / GAMBLING



Levels of gambling proneness

Choice (%) of the large but uncertain reward (i.e. one food pellet for sure vs five food pellets delivered, or not, with a progressively decreasing probability).

Task was replicated thrice: rats were exposed to doxycycline (doxy) on 2nd replication, to switch off any exogenous DAT over-expression.

Left panel: average of the 3 replications. Right panel: the last point (at p=6% only) is presented for first (no doxy), second (**under doxy**) and third (no doxy) replication.

Conclusion

• In general, the refinement of ID and PD tasks and the development of innovative animal models are essential to increase knowledge about the neurobiological mechanisms underlying neuropsychiatric disorders and for the development of new therapeutic approaches.

• Impulsivity and gambling proneness are modulated by age, gender, genetics (polymorphisms), environmental changes (e.g. stress, dietary manipulations, drug treatments), which act through key modifications in specific markers of dopamine and serotonin systems.

Future perspectives

- Preclinical studies on medications currently used to treat
 - pathological gambling: opioid antagonists (naltrexone, nalmefene); selective serotonin re-uptake inhibitors, SSRI (paroxetine, fluvoxamine)
 - Parkinson's disease: levodopa; dopamine replacement therapy (dopamine receptor agonists)

• The development of paradigms that can be carried out directly in rats' home-cages may allow to improve both animal welfare and the quality of experimental data.



Hikikomori

- extreme form of social withdrawal identified in Japan in the early 1990s
- young people secluding themselves in their homes for months or even years
- some researchers believe it may become a worldwide phenomenon
- lack of a robust body of clinical research



"... they often stay awake all night using the Internet, playing computer games, or watching TV and sleep throughout the day ..."



by Maggie Jones, The New York Times (January 15, 2006)

Thank you for your attention



Pictures of laboratory rats from the Understanding Animal Research image library

Funding source:

Italian Ministry of Health, with "under 40" Young-Investigator Project "ADHD-sythe" and EU-FP7 "Prio-Med-Child" ERAnet Project "NeuroGenMRI" (both coordinated as PI by WA)
The Dipartimento Politiche Antidroga, Presidenza del Consiglio dei Ministri (grant to GL)

Cerebral areas involved in impulsivity and gambling proneness

Prefrontal cortex

• involved in resolution of conflicting decisions through planning, feedback regulation, and inhibition of competing behaviour (*Dalley et al. 2004*)

• subserves cognitive attention to both stimulus features and task contingencies, supporting attentional shifts and cognitive behavioural flexibility

Dorsal striatum

classically thought to subserve innate or acquired, habit-based behaviour

• while the dorso-lateral striatum is involved in habit formation and expression, dorso-medial striatum plays a crucial role in supporting behavioural flexibility, hence allowing subjects to behave differently from what suggested by their instinct in the first instance (*Ragozzino 2003; Yin et al. 2004*)

Ventral striatum

- consists of the nucleus accumbens (NAcc) and the olfactory tubercle
- involved in reinforcement processes: it does account for the affective evaluation of outcome features, and for feedback modulation of future choice (*Cardinal et al. 2004; Christakou et al. 2004*)

• the NAcc plays a pivotal role in making efforts towards goals, determining the maximal affordable effort (*Salamone et al. 2005; Salamone et al. 2007*)

"Uncertainty", "Risk", "Loss"

"uncertainty" and "risk" are not synonymous

"risk of losing" is distinct from "failing to win"

- "risk": a construct implying a potential for overtly adverse consequences (e.g. foot-shock)
- "risk of losing": the resources staked on a favourable outcome are lost when a wager is unsuccessful
- "failing to win": the absence of any additional gain, causing "frustration"

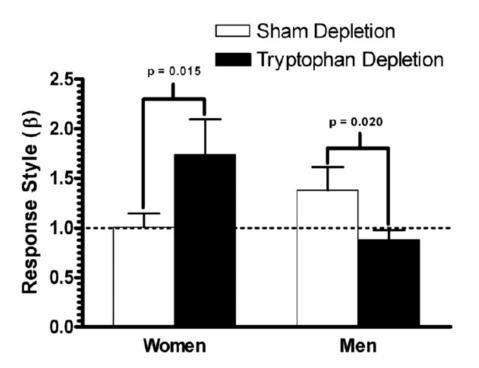
→ most paradigms of risky decision-making (Adriani and Laviola, Cardinal and Howes, 2005; Mobini et al., 2000 van den Bos et al., 2006) deal exclusively with "failing to win" (i.e. omission of reward or delivery of an unpalatable reward): no risk of finishing the session at a disadvantage compared with the start

→ every case of unsuccess is an "unlucky event" causing "frustration" but not necessarily a "risk"

→ while the attraction for uncertain reward may resemble the features of a "gambling proneness", it is not necessarily fitting with the construct of "risk proneness"

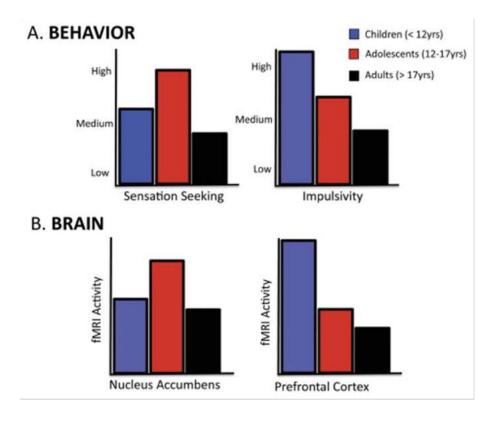


Sex and age differences in impulsivity in humans



The effects of acute tryptophan depletion (ATD) and sham depletion on response style in women and men.

A response style score < 1 indicates an impulsive response style, > 1 indicates a cautious response style (Walderhaug et al., 2007).



(A) Plot of sensation-seeking and impulsivity as a function of age. (B) Plot of patterns of activity in two key forebrain regions, sensitive to reward outcomes during a cognitive control task across development (*Casey & Jones, 2010*).

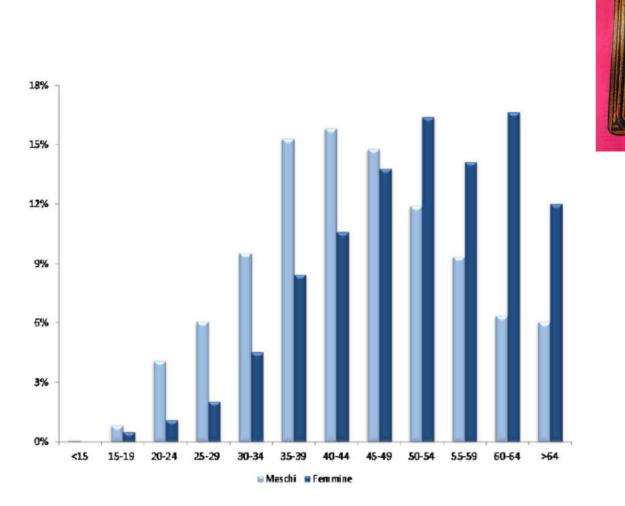
Age and sex differences in gambling proneness in humans

	Age 1	Age 15–24						
	gambl	No-problem gambling $(n = 921)$		Low-risk gambling $(n = 239)$		erate-risk oblem ling 81)	Chi-squared or Fisher's exact test	Young adults
	n	%	п	%	n	%	P-value	(15-24 years)
<i>Gender</i> Men	475	51.6	152	63.6	70	86.4	*** P < 0.001	
Woman	445	48.4	87	36.4	11	13.6		
Women	445	40.4	07	50.4	11	13.0		
Table 2 Descriptive state				50.4	11	13.0		
		5–64 yea		50.4	11	(13.0)		
	istics for adults aged 2	5–64 yea 5–64 oblem ing		risk ling		rate-risk or em ling	Chi-squared or Fisher's exact test	Adults
	istics for adults aged 2 Age 2 No-pro gambli	5–64 yea 5–64 oblem ing	ars Low- gamb	risk ling	Mode proble gamb	rate-risk or em ling	or Fisher's	Adults (25-64 years)
	istics for adults aged 2 Age 2: No-progamble (n = 2)	5–64 yea 5–64 oblem ing 2683)	Low- gamb (n =	risk ling 415)	Mode proble gamb $(n = $	rate-risk or em ling 155)	or Fisher's exact test	

Table 1 Descriptive statistics for young adults aged 15–24 years

A sample of 4494 gamblers was drawn from IPSAD-Italia 2007-2008 (Italian Population Survey on Alcohol and Drugs) in order to examine different gambling patterns, assessed using the Canadian Problem Gambling Index Short form scale (Bastiani et al., 2013).

Age and sex differences in gambling proneness in humans



Distribution of Italian subjects who were registered for therapy against pathological gambling in 2011, according to sex and age group (a total of 4544 subjects, of which 82% were male and 18% female).

Summary

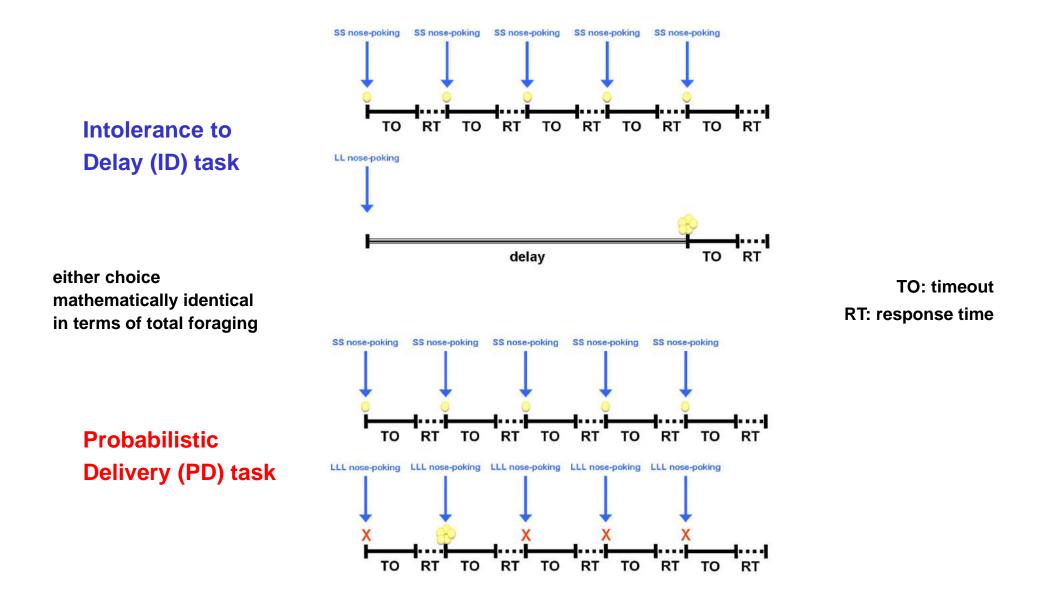
• Human adolescents are associated with patterns of temporary deviance and the expression of risky behaviours, including the search for and use of psychoactive agents.

• The delay in maturation of prefrontal cortex compared to earlier maturing sub-cortical (limbic) areas has most often been emphasised; this may help to explain the increased rates of dysregulated behaviour, especially drug use and risk taking during adolescence.

• The contribution of puberty, with all its important developmental rearrangements in neurobiological and neuroendocrinological processes, has received a growing attention in experimental investigation during the last two decades.

• It is thus emphasized the importance of characterizing novelty-seeking and risk-prone behaviour in the rodent, during adolescence and/or using specific models.

The indifferent point



Behavioral Neuroscience 2003, Vol. 117, No. 4, 695-703 Copyright 2003 by the American Psychological Association, Inc. 0735-7044/03/\$12.00 DOI: 10.1037/0735-7044.117.4.695

Elevated Levels of Impulsivity and Reduced Place Conditioning With *d*-Amphetamine: Two Behavioral Features of Adolescence in Mice

Walter Adriani and Giovanni Laviola

A reduced SERT function significantly impact on activity and impulsivity profiles

• These profiles confirm the inverse relationship between 5-HT and impulsivity reported by studies carried out with various manipulations of the serotonergic system.

• Considering the complexity of the serotoninergic system, it may not be so surprising the association between the short allelic variant (s) and the increase in impulsivity found in clinical research.

• The association between the s/s genotype and the decrease in SERT expression in the human central nervous system has not yet been proven definitively.



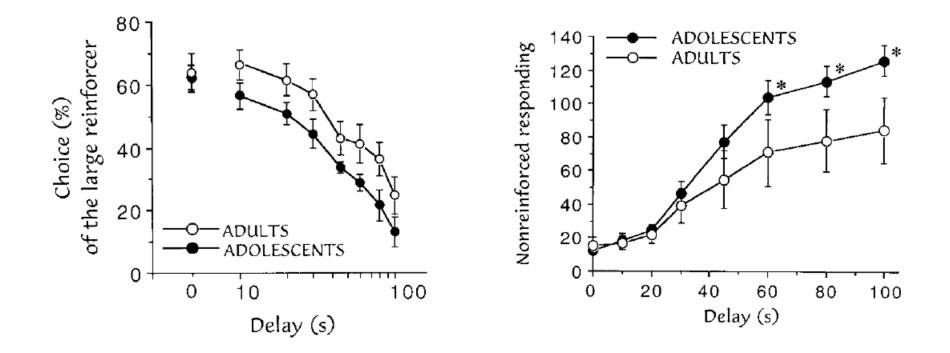
Behavioral Neuroscience 2003, Vol. 117, No. 4, 695-703

Elevated Levels of Impulsivity and Reduced Place Conditioning With *d*-Amphetamine: Two Behavioral Features of Adolescence in Mice

Walter Adriani and Giovanni Laviola

Levels of cognitive impulsivity

Levels of motor impulsivity



A more marked impulsivity profile was evident in adolescent mice when compared with adults. A more marked restlessness was evident in adolescent mice compared with adults (*Adriani & Laviola, 2003*).